

\$SSUDLVDO RI \$QDO\WHV LQ WKH /DERUDWRU\
1HHG \$VSDUWDWH \$PLQRWUDQVIHUDVH DQG 'L
+HDG \$67 DQG 'LUHFW %LOLUXELQ LQ /DE 3DC

*XUPXNK 6LQJK

'HSDUWPHQW RI 3DWKRORJ\ 8QLYHUVLW\ RI 0LVVRXUL .DQVDV &LW\ 6FKRRO RI 0HGLFLQH 7UXPDQ 0HGL

%RWK \$67 DQG \$/7 DUH OLYHU HQJ\PHV DQG DUH HOHYDWHG LQ FLUFXPVWDQFHV RI KHSDWRF\WH LQMXU\ \$/7 LV SUHVHQQW SUHGRPLQDQWO\ LQ WKH F\WRSODVP ZKHUHDV \$67 LV SUHVHQQW LQ F\WRSODVP DQG KHSDWRF\WH PLWRFKRQGULD DV ZHOO \$/7 LV SUHVHQQW PDLQO\ LQ OLYHU DQG NLGQH\ ZKHUHDV \$67 LV SUHVHQQW LQ PDQ\ RWKHU WLVVXHV LQFOXGLQJ KHUW VNHQHV PXVFOH NLGQH\ SDQFUHDV VSOHHQ OXQJV DQG UHG EORRG FHOOV \$67 FRQWHQW RI KHUW LV KLJKHU WKDQ WKDW RI OLYHU 7KH UHODWLYH FRQFHQWU RI \$67 DQG \$/7 LQ YDULRXV WLVVXHV LV SUHVHQQWHG LQ 7DEOH > @ \$/7 LV SUHVHQQW LQ KLJKHVW FRQFHQWUDWLRQ LQ WKH OLYHU IROORZHG E\ GHFUHDV\ DPRXQWV LQ NLGQH\ KHUW VNHQHWDO PXVFOH SDQFUHDV VSOHHQ OXQJV DQ UHG EORRG FHOOV 7KH \$/7 FRQWHQW LV PRUH WKDQ WZLFH DV KLJK LQ WKH OLY DV LQ WKH NLGQH\ ZKLFK WKH VFRQG ULFKHVW RUJDQ LQ \$/7 7KH KDOI OLIH RI \$/7 LQ VHUXP LV DERXW KRUV DQG WKDW RI \$67 LV PXFK VKRUWHU KRUV KRZHYHU PLWRFKRQGULD \$67 KDV D PXFK ORQJHU KDOI OLIH RI KRUV 7KHU LV JUHDWHU FLUFDGLDQ YDULDELWLW\ LQ \$/7 OHYOV WKDQ IRU \$67 \$67 OHYOV LQFUHDVH PRGHWO\ ZLWK DJH ZKHUHDV \$/7 LV QRW DIIHFWHG E\ DJLQJ \$/7 OHYOV DUH KLJKHU LQ PHQ WKDQ LQ ZRPHQ DQG PD\ EH IXUWKHU GHSUHVHG E\ IHPDOH VH\ KRUPRQH V LQFOXGLQJ ELUWK FRWURO SLOOV > @ 7KH XVXDO UHIHUHQFH UDQJHV IRU \$/7 DUH DQG LQ ZRPHQ DQG PHQ UHVSHFWLYHO\ DQG FRUHVSRQGLQJ YDOXHV IRU \$67 DUH DQG KRZHYHU LQIRUPDOO\ XQLWV LV XVHG DV WKH XSSHU OLPLW IRU ERWK DQDO\WHV > @

7LVVXH	\$67	\$/7
+HUU		
/LYHU		
6NHQHWDO PXVFOH		
.LGQH\		
3DQFUHDV		
6SOHHQ		
/XQJV		
5HG %ORRG &HOOV		

7DEOH 5HODWLYH \$67 DQG \$/7 FRQWHQW RI YDULRXV WLVVXHV 7KH HQJ\PH FRQFHQWUDWLRQ RI VHUXP LV WDNHQ WR EH XQLW\ RU RQH > @ 5HODWLYH WLW FRQWHQW RI \$67 DQG \$/7 ZLWK VHUXP FRQFHQWUDWLRQ WDNHQ DV RQH

7KH XSSHU OLPLW RI QRUPDO IRU WRWDO ELOLUXELQ LQ DGXOWV LV JHQHUDO DFFHSWHG WR EH PJ G/ WKRXJK VRPH VRXUFHV OLVW WKH XSSHU OLPLW WR EH DV KLJK DV PJ G/ > @ 7KH QRUPDO OHYOV RI GLUHFV ELOLUXELQ DUH FRQVLGHUHG WR EH > @ 7KHVH YDOXHV UHIHU WR PHWKRGV XVLQJ WKH GLDJR UHJDJHQWV WKDW PHDVXUH GLUHFV DQG LQGLUHFV ELOLUXELQ QRW FRQMXJDWHG DQG XQFRQMXJDWHG ELOLUXELQ VSHFLILFDOO\ > @ ,I FRQMXJDWHG ELOLUXELQ LV PHDVXUH VSHFLILFDOO\ PRVW KHDOWK\ LQGLYLGX KDYH YLUWXDOO\ XQGHWHFVDEOH OHYOV RI FRQMXJDWHG ELOLUXELQ > @

5HVXOWV IRU WRWDO DQG GLUHFV ELOLUXELQ WHVWLQJ GRQH RYHU VL[PRQW
 ZHUH DOVR H[WUDFWHG 7KH UHVXOWV IRU GLUHFV ELOLUXELQ IRU LQVWDQFH
 ZKLFK WRWDO ELOLUXELQ UDQJHG IURP WR PJ G/ PJ G/
 PJ G/ PJ G/ DQG JUHDWHU WKDQ PJ G/ ZHUH VWUDWLILHG 7KH
 QXPEHU RI GLUHFV ELOLUXELQ YDOXH DW PJ G/ PJ G/ PJ G/
 DQG ! PJ G/ ZHUH LGHQWLILHG IRU HDFK RI WKH VXEJURXS RI WRWDO
 ELOLUXELQ UHVXOWV

<U	1XPEHU RI 0HHWLQJ FULWHULD	1XPEHU RI HSLVRGHV PHHWLQJ IRU FKDUW	3DWLHQRV FULWHULD UHVLHZ	8QLTXH PRQWK
\$SULO				

0D\

-XQH

-XO\

\$XJXVW

6HSWHPEHU

7RWDO

0HHWLQJ

FULWHULD

8QLTXH 3DWLHQRV
LQ GDWD VHW

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\$OFRKRO QRW WKH SULPDU\ FDXVH RI \$67 DQG \$/7 DQRPDO\

7RWDO 0&9 GDWD DYDLODEOH/ LQ
LQ

+'/ GDWD DYDLODEOH/ G/ LQ
LQ

RI WKH FDVHV KDG KLVWRU\ RI DOFRKRO LQWDNH HYHQ WKRXJK DOFRKRO ZDV MXGJHG
WR EH QRW WKH SULPDU\ FDXVH RI \$67 \$/7 DQRPDOLHV \$OO IRXU FDVHV KDG KLVWRU\
RI DOFRKRO LQWDNH HYHQ WKRXJK DOFRKRO ZDV MXGJHG WR EH QRW WKH SULPDU\ FDXVH
\$67 \$/7 DQRPDOLHV

'LVFXVVLQR

\$V VWDWHG LQ WKH LQWURGXFWLRQ UXOHV IURP WKH &HQWHUV IRU OHGLFDU
DQG OHGLFDLG 6HUYLFHV KDYH OHG WKH ODERUDWRULHV LQ WKH 86 WR WHVW I
VHYHQ DQDO\WHV LQ WKH &06 DSSURYHG OLYHU SDQHO HYHQ LI UHVXOWV RI D
WKH DQDO\WHV DUH QRW FOLQLFDOO\ QHHGHG > @ 7KH LQFOXVLRQ RI \$67 LQ W
DQG FRPSUHKKHQVLYH PHWDEROLF SDQHO LV EHLQJ TXHVWLRQHG DV WKH HQJ\PH
LV QRW OLYHU VSHFLILF > @ 2QH RVWHQVLEOH UHVRQ IRU LQFOXGLQJ \$67 LQ
WKHVH SDQHOV LV WR DOORZ GHWHFWLRQ RI KHSDWRF\WH LQMXU\ GXH WR DOF

RI URXWLQH \$67 DQG GLUHFW ELOLUXELQ WHVWLQJ DV SURSRVHG WKLV KDV W