



# Biopharmaceutical Manufacturing Process Validation and Quality Risk Management

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## Abstract

## Introduction

The principles of process control were originally established in the 1987 US Food and Drug Administration (FDA) document “Guideline on General Principles of Process Control,” which defined process control as “establishing proved substantiation which provides a high degree of assurance that a specific process will constantly produce a product meeting its pre-determined specifications and quality attributes [1]. This description has also been espoused in guidance documents worldwide, including the current good manufacturing practices (cGMP) regulations announced by European non-supervisory agencies and the International Conference on Harmonisation (ICH) [2]. When the 1987 FDA guidance was published, control during early stages of product development (before Phase 1 clinical trials) was minimum

and focused on the final product [3].

Over time, the focus of process control has shifted from the final product to the manufacturing process

itself, with the goal of ensuring that the process consistently produces the medicine product [3].

At that time, utmost process control conditioning were conducted in the earlier stages of product development, primarily during Phase 3 clinical trials, in medication for which a biologics license application (BLA) was submitted. The conditioning included

the identification of process inputs or variables related to each individual unit operation in a manufacturing process that directly affected product quality [4].

Key process control points at which the process fails to yield respectable product

are identified and controlled to ensure conformance lots in good output under cGMP conditions

Output qualification involved attesting and establishing that the design, installation qualification (IQ), operation qualification (OQ), and performance qualification (PQ) were able of satisfying the process conditions. Analytical styles used for in-process testing and final product release were validated previous to inauguration of full-scale conformance lots. After conformance lot release, the validated process couldn't be materially modified without revalidation to confirm that the process was still under control and still

reduced in a product of respectable (similar) quality [5].

Biologics on the other hand are complex, high-molecular-weight products, and logical styles have limited capacities to fully control the process [5].

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down models [9].

Ek fZMU? W[U] WUS TW WUZSUFWU WTKWST[eZW^aYUS^ styles. Biologics on the other hand are complex, high- molecular-weight products, and logical styles have limited capacities to fully UZSUFWU WZV S V fZM Ua` fS\_ [ Sf[a` T]aYbZ[Vz DWg^Sf[a` aXT]a^aY[Ue [ UgVW `af a` k `S^ bchVgUf UZSUFWU Sf[a` Tgf S^ea UZSUFWU Sf[a` S V Ua` fca`e a` dSi SUagfdW Wfe S V fZV manufacturing process [10].

Ek fZMU\_ W[U] WUS TW WUZSUFWU WTKWST[eZW^aYUS^ styles. Biologics on the other hand are complex, high- molecular-weight products, and logical styles have limited capacities to fully UZSUFWU WZV S V fZM Ua` fS\_ [ Sf[a` T]aYbZ[Vz DWg^Sf[a` aXT]a^aY[Ue [ UgVW `af a` k `S^ bchVgUf UZSUFWU Sf[a` Tgf S^ea UZSUFWU Sf[a` S V Ua` fca`e a` dSi SUagfdW Wfe S V fZV manufacturing process [11]. FDA has de ned process con rmation as" establishing proved substantiation which provides a high degree of assurance that a speci c process will constantly produce a product meeting its destined speci cations and quality attributes." is involves supporting product and manufacturing process claims with proved scienti c studies. Protocols, results with statistical analysis, SgfZad]Sf[a` d S V TWa` Ye \_ gef TWShS[ST^Wfa `a` egbW]each inspectors. Process con rmation is part of current good manufacturing practices (cGMP) and is needed in the US and EU for a manufacturing license [12].

In addition to process con rmation, biopharmaceutical enterprises must conduct logical system con rmation, expression dekW UZSUFWU Sf[a` I [ efS^Sf[a` S Vagf f Ua` d Sf[a` l ea i ScV con rmation, and drawing con rmation [13]. Final product quality is assured when these rudiments are combined with other rudiments of cGMP, including lot release testing, raw material testing, seller quality [`efg\_ Wfd S V eWUZW] Zgbz

7j bW]a` dekW UZSUFWU Sf[a` [e bW]d W TW]d MBZSeW, studies in humans to ensure safety. enterprises include the presence of polluting organisms, tumorigenic cells, proteins, nucleic acids, dW]h]geW ad afZW] bSfZaYWe M&Q FS] [ Y fai WUg^fgdWSe S [^gefSf[a` I UZSUFWU Sf[a` [ UgVW fZVagdU]dSi SUagfdW Wfe used, selection styles, number of generations, transfection or emulsion ek^WgeW bchUWgdW]dWST[eZ]` Yi ad [ YUW^IS` ] d [ efS^Sf[a` d identity, unity, absence of polluting pathogens, tumorigenicity, and stability.

Analytical styles measure product characteristics important for remedial safety and e cacity during preclinical and early Phase I studies. fresh tests are developed for nal product release and in- process slice of the nal manufacturing process. ese measure characteristics similar as molecular identity, chastity, energy, and safety. e number

Fa \_ WfZW a` egbWhead WWS` V fZSf \_ Sd WSPW\_ WUQ` S` manufacturing processes be "validated with a high degree of assurance, nonsupervisory authorities now consider a methodical threat analysis and operation program to be a critical element of con rmation. A quality threat operation program will encompass threat control, threat review, and, most importantly, threat assessment, which is the most critical aspect for process con rmation [19].

D c

reat assessments should be grounded on sound wisdom, process UZScSUFW]Sf[a` [ Xd\_ Sf[a` l S` V VSfS Ua`^WFW Xh\_ `TafZ YSgYW Z` down models of the manufacturing process and factual product batches produced during clinical development and scale- up. e data should include information about the source and quality of all accoutrements used in the manufacturing process, as well as the e ect of each material or procedure used in the process on the quality, e cacy, and safety of the nal product. reat assessments should be conducted throughout the product life cycle, starting with process design and continuing fZchgYZ a` Ya[ YSeW\_e Wf aX\_ Sd WSPW` S` gXUfgd` YabWsf[a` e`

reat assessment approaches used originally to determine product Uff[US^cgS[fk SffdTgfW/5C3efi[ UgVWZdM d` ] [ YS` Vbd\_ Sk ZSlSdV S`S'ke/B: 3fi M' Q` WWSdW[^gefSFW [ ` S`"+ UseV study for a monoclonal antibody bioprocess development, which is a bdsUf[US^La\_ bS [a` a` Zai fa geWafZ CT6 S` V [XWkUWSbbaSUZ to con rmation. Latterly threat assessments include process threat SeeW\_e Wf /BD3fi i Z[UZ [e La` VgUFW ge[ Y Xl'gdW\_ aWV YaaVe analysis (FMEA); failure modes goods criticality analysis (FMECA); or fZWZSlSdV S`S'keS` VUff[US^La` fca`ba[ f/: 355Bfi\_ WZaVa`aYkz

reat assessments should be conducted at phase-applicable intervals, and any time that changes are made to the manufacturing process. Depending on situation and need, they can, and should be, both formal S` V [ Xd\_ S%3e fZWbcaVgf \_ SfgcW` S` V XWZ bcaUe ]` ai `WVW accrues, threat assessment and analysis will come more comprehensive, helping to determine the implicit goods of indeed subtle manufacturing process changes on product quality [21,22].

e glycosylation of recombinant proteins, for illustration, can be altered by a range of factors associated with cellular metabolism and metabolic ux as well as the e ectiveness of the glycosylation process. Since changes in glycosylation can have a signi cant e ect a` T[abZSd SUWf[US^ bcaVgf bZSd SLa] [ WUd WUSk S` V immunogenicity, it's important to assess the threat of variations in the product bioreactor operating parameters and any possible goods on product glycosylation [23]. is is especially important since subtle variations of negligibly identical bioreactor operating parameters can alter glycosylation. It may be delicate to determine the e ect of certain manufacturing parameters on glycosylation beforehand in the product life cycle, still, due to the limited number of batches produced during clinical development and the limited clinical data available at that time.

e implicit pitfalls associated with raw accoutrements, process out t, and manufacturing processes on biopharmaceutical product quality should also be part of the evaluation [24]. e criticality of these pitfalls should be determined, as should styles or programs designed to exclude, alleviate, or control them. A quality threat operation

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